

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER <b>051654-0106</b>
INTERNATIONAL APPLICATION NO. PCT/AU2003/001310	INTERNATIONAL FILING DATE 10/06/2003	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unassigned <b>10/529887</b>
TITLE OF INVENTION CRYSTAL STRUCTURE OF ERBB2 AND USES THEREOF		
APPLICANT(S) FOR DO/EO/US Thomas Peter John GARRETT, Thomas Charles ELLEMAN, Timothy Edward ADAMS, Antony Wilkes BURGESS, Robert Nicholas JORISSEN, Meizhen LOU, George Oscar LOVRECZ, Neil Moreton MCKERN & Colin Wesley WARD		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ul style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13<sup>ter</sup>2 and 37 CFR 1.821 – 1.825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: PCT/IPEA/409 - International Preliminary Examination Report</p>		

JG13 Rec'd PCT/PTO 01 APR 2005

U.S. APPLICATION NO. (if known, see 37 CFR. 1.5) Unassigned <b>10/529887</b>		INTERNATIONAL APPLICATION NO. PCT/AU2003/001310	ATTORNEY'S DOCKET NUMBER 051654-0106																																																																																																																																								
<p>The following fees have been submitted:</p> <table border="1"> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee</td> <td>\$300</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$100 \$200</td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>All other situations</td> <td></td> <td></td> <td></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td>International Search Report prepared and provided to the Office</td> <td>\$400</td> <td></td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$500</td> <td>\$ 500.00</td> <td></td> </tr> <tr> <td colspan="2"><b>TOTAL OF ABOVE 21, 22 and 23 =</b></td> <td>\$ 1000.00</td> <td></td> </tr> <tr> <td colspan="4"> <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.         </td> </tr> <tr> <td>Total Sheets</td> <td>Extra sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td>RATE</td> </tr> <tr> <td>143 - 100 =</td> <td>43 /50 =</td> <td>1</td> <td>x \$250.00</td> </tr> <tr> <td colspan="4">\$ 250.00</td> </tr> <tr> <td colspan="4">Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))</td> </tr> <tr> <td colspan="4">\$ 130.00</td> </tr> <tr> <td>CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td>Total Claims</td> <td>18 - 20 =</td> <td>0</td> <td>x \$ 50.00</td> </tr> <tr> <td>Independent Claims</td> <td>5 - 3 =</td> <td>2</td> <td>x \$ 200.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$</td> <td>360.00</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 1780.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.         </td> </tr> <tr> <td colspan="4" style="text-align: right;">+ \$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b> \$ 1780.00</td> </tr> <tr> <td colspan="4">Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b> \$ 1780.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b> \$ 1780.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Amount to be refunded:  <input type="checkbox"/> charged:         </td> </tr> <tr> <td>a. <input type="checkbox"/> A check in the amount of \$1780.00 to cover the above fees is enclosed.</td> <td colspan="3"></td> </tr> <tr> <td>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$1780.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</td> <td colspan="3"></td> </tr> <tr> <td>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.</td> <td colspan="3"></td> </tr> <tr> <td>d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</td> <td colspan="3"></td> </tr> <tr> <td colspan="4">NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</td> </tr> <tr> <td colspan="4">SEND ALL CORRESPONDENCE TO:</td> </tr> <tr> <td colspan="4">         Foley &amp; Lardner LLP          Customer Number: 22428       </td> </tr> <tr> <td colspan="4">           SIGNATURE  <u>Stephen A. Bent</u>          NAME  <u>29,768</u>          REGISTRATION NUMBER  <u>Date: April 1, 2005</u> </td> </tr> </table>				21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00		22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100 \$200	\$ 200.00		All other situations				23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100			International Search Report prepared and provided to the Office	\$400			All other situations	\$500	\$ 500.00		<b>TOTAL OF ABOVE 21, 22 and 23 =</b>		\$ 1000.00		<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.				Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	143 - 100 =	43 /50 =	1	x \$250.00	\$ 250.00				Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 130.00				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	18 - 20 =	0	x \$ 50.00	Independent Claims	5 - 3 =	2	x \$ 200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$	360.00	<b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 1780.00				<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				+ \$ 0.00				<b>SUBTOTAL =</b> \$ 1780.00				Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				<b>TOTAL NATIONAL FEE =</b> \$ 1780.00				Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				<b>TOTAL FEES ENCLOSED =</b> \$ 1780.00				<input type="checkbox"/> Amount to be refunded: <input type="checkbox"/> charged:				a. <input type="checkbox"/> A check in the amount of \$1780.00 to cover the above fees is enclosed.				b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$1780.00 to cover the above fees. A duplicate copy of this sheet is enclosed.				c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.				d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				SEND ALL CORRESPONDENCE TO:				Foley & Lardner LLP Customer Number: 22428				 SIGNATURE <u>Stephen A. Bent</u> NAME <u>29,768</u> REGISTRATION NUMBER <u>Date: April 1, 2005</u>			
21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00																																																																																																																																									
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100 \$200	\$ 200.00																																																																																																																																									
All other situations																																																																																																																																											
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100																																																																																																																																										
International Search Report prepared and provided to the Office	\$400																																																																																																																																										
All other situations	\$500	\$ 500.00																																																																																																																																									
<b>TOTAL OF ABOVE 21, 22 and 23 =</b>		\$ 1000.00																																																																																																																																									
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.																																																																																																																																											
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																																																																																																																								
143 - 100 =	43 /50 =	1	x \$250.00																																																																																																																																								
\$ 250.00																																																																																																																																											
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))																																																																																																																																											
\$ 130.00																																																																																																																																											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																																																																																																																								
Total Claims	18 - 20 =	0	x \$ 50.00																																																																																																																																								
Independent Claims	5 - 3 =	2	x \$ 200.00																																																																																																																																								
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$	360.00																																																																																																																																								
<b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 1780.00																																																																																																																																											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.																																																																																																																																											
+ \$ 0.00																																																																																																																																											
<b>SUBTOTAL =</b> \$ 1780.00																																																																																																																																											
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).																																																																																																																																											
<b>TOTAL NATIONAL FEE =</b> \$ 1780.00																																																																																																																																											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property																																																																																																																																											
<b>TOTAL FEES ENCLOSED =</b> \$ 1780.00																																																																																																																																											
<input type="checkbox"/> Amount to be refunded: <input type="checkbox"/> charged:																																																																																																																																											
a. <input type="checkbox"/> A check in the amount of \$1780.00 to cover the above fees is enclosed.																																																																																																																																											
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$1780.00 to cover the above fees. A duplicate copy of this sheet is enclosed.																																																																																																																																											
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.																																																																																																																																											
d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																																																																																											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																																																																																																																											
SEND ALL CORRESPONDENCE TO:																																																																																																																																											
Foley & Lardner LLP Customer Number: 22428																																																																																																																																											
 SIGNATURE <u>Stephen A. Bent</u> NAME <u>29,768</u> REGISTRATION NUMBER <u>Date: April 1, 2005</u>																																																																																																																																											